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# TRANSMITTAL FOR U.S. PATENT APPLICATION UNDER 37 CFR §1.53(b)

Mail Stop: Patent Application Commissioner For Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Attorney Docket No.: 074078.0124 Express Mail. No. EV 323455944 US



Sir:

Transmitted herewith for filing is the original patent application of:

Inventors:

Yingxue Li, et al.

For:

ADJUSTING A SIGNAL AT A DIVERSITY SYSTEM

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

#### Enclosed are:

- (1) 33 pages of Specification, Claims and Abstract;
- (2) 5 pages of an executed Declaration and Power of Attorney;
- (3) 4 pages of Formal Drawings;
- (4) Assignment of the invention to Magnolia Broadband Inc. (4 pages);
- (5) Separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) (2 pages);
  - (6) 1 page of a Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

Form;

- (7) Verified Statement (Declaration) Claiming Small Entity Status (1 page);
  - (8) Information Disclosure Statement (1 page);
  - (9) PTO-1449 (1 page with seven attached references);
- (10) 1 page of a Certificate of Mailing by Express Mail No.: EV323455944US;
  - (11) Baker Botts Return Receipt Postcard; and
- (12) 2 pages of this Transmittal Form with attached patent application filing fee in the amount of \$850.00.

Applicant is a \_\_\_\_\_ large \_X small entity.

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FEE CALCULATION						FEE	
			Number		Basic Fee		
	Number		Extra	Rate	\$	385.00	
Total Claims	43	20	23	X \$9 =		207.00	
Independent							
Claims	9	3	6	X \$43 =	\$	258.00	
TOTAL FILING FEE =						850.00	

Enclosed is a check in the amount of \$850.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. A duplicate copy of this sheet is enclosed.

BAKER BOTTS L.L.P. Attorneys for Applicant

Keiko Ichiye

Registration No. 45,460

Date: December 16, 2003

**CORRESPONDENCE ADDRESS:** 

 $\underline{X}$  Customer Number **U** 

05073

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031
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# NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Yingxue Li, et al.			
Title	ADJUSTING A SIGNAL AT A DIVERSITY SYSTEM				
Atty Docket Number		074078.0124			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 10, 2003

BAKER BOTTS L.L.P. Attorneys for Applicants

Signature

Keiko Ichiye
Typed or printed name
Reg No. 45,460

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.** 

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yingxue Li, et al.

Date Filed:

December 16, 2003

Title:

ADJUSTING A SIGNAL AT A DIVERSITY SYSTEM

#### MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Patent Application (33 pages, including Specification, Claims, and Abstract), Declaration and Power of Attorney (5 pages), 4 sheets of Formal Drawings, Assignment (4 pages), Assignment Recordation Cover Sheet (2 pages), a check in the amount of \$40.00 to satisfy the recordal fee, Nonpublication Request (1 page), Verified Statement Claiming Small Entity Status (1 page), Information Disclosure Statement (1 page); PTO-1449 Form (1 page with seven attached references), Transmittal Form (2 pages), a check in the amount of \$850.00 to cover the application filing fee, a Baker Botts return acknowledgment postcard, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 16th day of December, 2003 and is addressed to Mail Stop Patent Application, Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV323455944US

Attorney's Docket: 074078.0124